

# Analytic continuation of holomorphic correspondences and equivalence of domains in $\mathbb{C}^n$

Rasul Shafikov

Department of Mathematics, SUNY, Stony Brook, NY 11794, USA  
(e-mail: shafikov@math.sunysb.edu)

Oblatum 23-I-2002 & 18-XI-2002  
Published online: 17 February 2003 – © Springer-Verlag 2003

**Abstract.** The following result is proved: Let  $D$  and  $D'$  be bounded domains in  $\mathbb{C}^n$ ,  $\partial D$  is smooth, real-analytic, simply connected, and  $\partial D'$  is connected, smooth, real-algebraic. Then there exists a proper holomorphic correspondence  $f : D \rightarrow D'$  if and only if there exist points  $p \in \partial D$  and  $p' \in \partial D'$ , such that  $\partial D$  and  $\partial D'$  are locally CR-equivalent near  $p$  and  $p'$ . This leads to a characterization of the equivalence relationship between bounded domains in  $\mathbb{C}^n$  modulo proper holomorphic correspondences in terms of local CR-equivalence of their boundaries.

## 1. Definitions and main results

Following Stein [St1] a holomorphic correspondence between two domains  $D$  and  $D'$  in  $\mathbb{C}^n$  is defined to be a complex-analytic set  $A \subset D \times D'$  which satisfies: (i)  $A$  is of pure complex dimension  $n$ , and (ii) the natural projection  $\pi : A \rightarrow D$  is proper. A correspondence  $A$  is called proper, if in addition the natural projection  $\pi' : A \rightarrow D'$  is proper. We may also think of  $A$  as the graph of a multiple valued mapping defined by  $F := \pi' \circ \pi^{-1}$ . Holomorphic correspondences were studied for instance, in [BB1], [BB2], [Be1], [BSu], [DFY], [DP1], [P3], [St1], [St2], and [V].

In this paper we address the following question: Given two domains  $D$  and  $D'$ , when does there exist a proper holomorphic correspondence  $F : D \rightarrow D'$ ? Note (see [St2]) that the existence of a correspondence  $F$  defines an equivalence relation  $D \sim D'$ . This equivalence relation is a natural generalization of biholomorphic equivalence of domains in  $\mathbb{C}^n$ .

To illustrate the concept of equivalence modulo holomorphic correspondences, consider domains of the form  $\Omega_{p,q} = \{|z_1|^{2p} + |z_2|^{2q} < 1\}$ ,  $p, q \in \mathbb{Z}^+$ . Then  $f(z) = (z_1^{p/s}, z_2^{q/t})$  is a proper holomorphic correspondence between  $\Omega_{p,q}$  and  $\Omega_{s,t}$ ,  $s, t \in \mathbb{Z}^+$ , while a proper holomorphic map

from  $\Omega_{p,q}$  to  $\Omega_{s,t}$  exists only if  $s|p$  and  $t|q$ , or  $s|q$  and  $t|p$ . For details see [Bd] or [La].

The main result of this paper is the following theorem.

**Theorem 1.** *Let  $D$  and  $D'$  be bounded domains in  $\mathbb{C}^n$ ,  $n > 1$ . Let  $\partial D$  be smooth, real-analytic, connected and simply connected, and let  $\partial D'$  be connected, smooth, real-algebraic. Then there exists a proper holomorphic correspondence  $F : D \rightarrow D'$  if and only if there exist points  $p \in \partial D$ ,  $p' \in \partial D'$ , neighborhoods  $U \ni p$  and  $U' \ni p'$ , and a biholomorphic map  $f : U \rightarrow U'$ , such that  $f(p) = p'$  and  $f(U \cap \partial D) = U' \cap \partial D'$ .*

In other words, we show that a germ of a biholomorphic mapping between the boundaries extends analytically to a holomorphic correspondence of the domains. By the example above, the extension will not be in general single-valued. Note that we do not require pseudoconvexity of either  $D$  or  $D'$ . Also  $\partial D'$  is not assumed to be simply connected. If both  $D$  and  $D'$  have real-algebraic boundary, i.e. each is globally defined by an irreducible real polynomial, then we can drop the requirement of simple connectivity of  $\partial D$ .

**Theorem 2.** *Let  $D$  and  $D'$  be bounded domains in  $\mathbb{C}^n$ ,  $n > 1$ , with connected smooth real-algebraic boundaries. Then there exists a proper holomorphic correspondence between  $D$  and  $D'$  if and only if there exist points  $p \in \partial D$ ,  $p' \in \partial D'$ , neighborhoods  $U \ni p$  and  $U' \ni p'$ , and a biholomorphic map  $f : U \rightarrow U'$ , such that  $f(p) = p'$  and  $f(U \cap \partial D) = U' \cap \partial D'$ .*

The proof of Theorem 2 is simpler than that of Theorem 1 due to the fact that when both domains are algebraic, Webster's theorem [We] can be applied. We give a separate complete proof of Theorem 2 in Sect. 3 to emphasize the ideas of the proof of Theorem 1 and the difficulties that arise in the case when one of the domains is not algebraic.

Local CR-equivalence of the boundaries, which is used in the above theorems to characterize correspondence equivalence, is a well-studied subject. Chern and Moser [CM] gave the solution to the local equivalence problem for real-analytic hypersurfaces with non-degenerate Levi form both in terms of normalization of Taylor series of the defining functions and in terms of intrinsic differential-geometric invariants of the hypersurfaces. See also [Se], [Ca] and [T]. Note that for a bounded domain in  $\mathbb{C}^n$  with smooth real-analytic boundary, the set of points where the Levi form is degenerate is a closed nowhere dense set. Thus we may reformulate Theorem 1 in the following way.

**Theorem 3.** *Let  $D$  and  $D'$  be as in Theorem 1. Then  $D$  and  $D'$  are correspondence equivalent if and only if there are points  $p \in \partial D$  and  $p' \in \partial D'$  such that the Levi form of the defining functions are non-degenerate at  $p$  and  $p'$ , and the corresponding Chern–Moser normal forms are equivalent.*

Theorem 1 generalizes the result in [P1], which states that a bounded, strictly pseudoconvex domain  $D \subset \mathbb{C}^n$  with connected, simply-connected, real-analytic boundary is biholomorphically equivalent to  $\mathbb{B}^n = \mathbb{B}(0, 1)$ , the unit ball centered at the origin, if and only if there exists a local biholomorphism of the boundaries. It was later shown in [CJ] (see also [BER1]) that simple connectivity of  $\partial D$  can be replaced by simple connectivity of the domain  $D$  and connectedness of  $\partial D$ . For related results see also [A], [Ro], [Ru], and [Wo].

In [P2] Pinchuk also established sufficient and necessary conditions of equivalence for bounded, strictly pseudoconvex domains with real-analytic, connected and simply-connected boundaries. Two such domains  $D$  and  $D'$  are biholomorphically equivalent if and only if there exist points  $p \in \partial D$  and  $p' \in \partial D'$  such that  $\partial D$  and  $\partial D'$  are locally equivalent at  $p$  and  $p'$ .

If in Theorem 1  $\partial D$  is not assumed to be simply connected, then the result is no longer true. Indeed, in a famous example Burns and Shnider [BS] constructed a domain  $\Omega$  with the boundary given by

$$\partial\Omega = \{z \in \mathbb{C}^2 : \sin(\ln |z_2|) + |z_1|^2 = 0, e^{-\pi} \leq |z_2| \leq 1\}, \tag{1.1}$$

which is real-analytic and strictly pseudoconvex but not simply connected. There exists a mapping  $f : \mathbb{B}^2 \rightarrow \Omega$  such that  $f$  does not extend even continuously to a point on  $\partial\mathbb{B}^2$ . The inverse to  $f$  gives a local biholomorphic map from  $\partial\Omega$  to  $\partial\mathbb{B}^2$ , but nevertheless  $f^{-1}$  does not extend to a global proper holomorphic correspondence between  $\Omega$  and  $\mathbb{B}^2$ . Furthermore, suppose that there exists a proper correspondence  $g : \Omega \rightarrow \mathbb{B}^2$ . Since  $\mathbb{B}^2$  is simply connected,  $g^{-1}$  is a proper holomorphic mapping, which extends holomorphically to a neighborhood of  $\overline{\mathbb{B}^2}$ . Let  $p \in \partial\Omega$ ,  $q^1 \in \overline{f^{-1}(p)}$ , and  $q^2 \in g(p)$ . By the result in [BB1]  $g$  splits at every point in  $\overline{\Omega}$ . Therefore, with a suitable choice of the branch of  $g$  near  $p$ ,  $\phi := g \circ f$  defines a local biholomorphic mapping from a neighborhood  $U_1 \ni q^1$  to some neighborhood  $U_2 \ni q^2$ . Moreover,  $\phi(U_1 \cap \partial\mathbb{B}^2) \subset (U_2 \cap \partial\mathbb{B}^2)$ . By the theorem of Poincaré-Alexander (see e.g. [A]),  $\phi$  extends to a global automorphism of  $\mathbb{B}^2$ . Thus after a biholomorphic change of coordinates, by the uniqueness theorem  $f$  and  $g^{-1}$  must agree in  $\mathbb{B}^2$ . But  $f : \mathbb{B}^2 \rightarrow \Omega$  is not a proper map. This contradiction shows that there are no proper holomorphic correspondences between  $\Omega$  and  $\mathbb{B}^2$ . Thus the condition of simple connectivity of  $\partial D$  in Theorem 1 cannot be in general weakened.

One direction in the proof of Theorems 1 and 2 is essentially contained in the work of Berteloot and Sukhov [BSu]. The proof in the other direction is based on the idea of extending the mapping  $f$  along  $\partial D$  as a holomorphic correspondence.

It is not known whether Theorem 1 holds if  $\partial D'$  is real-analytic. The main difficulty is to prove local analytic continuation of holomorphic mappings along real-analytic hypersurfaces in the case when the hypersurfaces are not strictly pseudoconvex. In particular, Lemma 3 cannot be directly established for real-analytic hypersurfaces.

In Sect. 2 we present background material on Segre varieties and holomorphic correspondences. In Sect. 3 we prove a technical result, important for the proof of the main theorems. Section 4 contains the proof of Theorem 2. In Sect. 5 we prove local extendability of holomorphic correspondences along hypersurfaces. Theorem 1 is proved in Sect. 6.

### 2. Background material

Let  $\Gamma$  be an arbitrary smooth real-analytic hypersurface with a defining function  $\rho(z, \bar{z})$  and let  $z^0 \in \Gamma$ . In a suitable neighborhood  $U \ni z^0$  to every point  $w \in U$  we can associate to  $\Gamma$  its so-called Segre variety defined as

$$Q_w = \{z \in U : \rho(z, \bar{w}) = 0\}, \tag{2.1}$$

where  $\rho(z, \bar{w})$  is the complexification of the defining function of  $\Gamma$ . From the reality condition we conclude that for  $z, w \in U$ ,

$$z \in Q_w \Leftrightarrow w \in Q_z. \tag{2.2}$$

After a local biholomorphic change of coordinates near  $z^0$ , we can find neighborhoods  $U_1 \Subset U_2$  of  $z^0$ , where

$$U_2 = {}'U_2 \times {}''U_2 \subset \mathbb{C}_z^{n-1} \times \mathbb{C}_{z_n}, \tag{2.3}$$

such that for any  $w \in U_1$ ,  $Q_w$  is a closed smooth complex-analytic hypersurface in  $U_2$ . Here  $z = ({}'z, z_n)$ . Furthermore, a Segre variety can be written as a graph of a holomorphic function,

$$Q_w = \{({}'z, z_n) \in {}'U_2 \times {}''U_2 : z_n = h({}'z, \bar{w})\}, \tag{2.4}$$

where  $h(\cdot, \bar{w})$  is holomorphic in  $'U_2$ . Following [DP1] we call  $U_1$  and  $U_2$  a *standard* pair of neighborhoods of  $z^0$ . A detailed discussion of elementary properties of Segre varieties can be found in [DW], [DF2], [DP1] or [BER2].

The map  $\lambda : z \rightarrow Q_z$  is called the Segre map. We define  $I_w = \lambda^{-1} \circ \lambda(w)$ . This is equivalent to

$$I_w = \{z \in U_1 : Q_z = Q_w\}. \tag{2.5}$$

If  $\Gamma$  is of finite type in the sense of D'Angelo, or more generally, if  $\Gamma$  is essentially finite, then there exists a neighborhood  $U$  of  $\Gamma$  such that for any  $w \in U$  the set  $I_w$  is finite. Due to the result in [DF1], this is the case for compact smooth real-analytic hypersurfaces, in particular for the boundaries of  $D$  and  $D'$  in Theorem 1. The last observation is crucial for the construction of proper holomorphic correspondences used throughout this paper. We remark that our choice of a standard pair of neighborhoods of any point  $z \in \Gamma$  is always such that for any  $w \in U_2$ , the set  $I_w$  is finite.

If  $\Gamma$  is a smooth real-algebraic hypersurface, then (2.1) defines an algebraic variety in  $\mathbb{C}^n$  of dimension  $n - 1$  for all  $z \in \mathbb{C}^n$  outside some

exceptional variety  $E$ . From the above considerations,  $E \cap \Gamma = \emptyset$ , and we can find a neighborhood  $U$  of  $\Gamma$  such that  $Q_z$  is well defined for all  $z \in U$ . In this case we treat  $I_z$  as a set globally defined in  $U$ , i.e.

$$I_z = \{w \in U : Q_z = Q_w\}. \tag{2.6}$$

For the proof of Theorem 1 we will need a simple lemma.

**Lemma 1.** *Let  $\Gamma$  be a compact, smooth, real-algebraic hypersurface. Then there exist a neighborhood  $U$  of  $\Gamma$  and an integer  $m \geq 1$  such that for almost any  $z \in U$ ,  $\#I_z = m$ .*

The proof follows from [DF2]. The following statement describes the invariance property of Segre varieties under holomorphic mappings. It is analogous to the classical Schwarz reflection principle in dimension one.

Suppose that  $\Gamma$  and  $\Gamma'$  are real-analytic hypersurfaces in  $\mathbb{C}^n$ ,  $(U_1, U_2)$  and  $(U'_1, U'_2)$  are standard pairs of neighborhoods for  $z_0 \in \Gamma$  and  $z'_0 \in \Gamma'$  respectively. Let  $f : U_2 \rightarrow U'_2$  be a holomorphic map,  $f(U_1) \subset U'_1$  and  $f(\Gamma \cap U_2) \subset (\Gamma' \cap U'_2)$ . Then

$$f(Q_w \cap U_2) \subset Q'_{f(w)} \cap U'_2, \text{ for all } w \in U_1. \tag{2.7}$$

Moreover, a similar invariance property also holds for a proper holomorphic correspondence  $f : U_2 \rightarrow U'_2$ ,  $f(\Gamma \cap U_2) \subset (\Gamma' \cap U'_2)$ . In this case (2.7) indicates that any branch of  $f$  maps any point from  $Q_w$  to  $Q_{w'}$  for any  $w' \in f(w)$ . For details, see [DP1] or [V].

Let  $f : D \rightarrow D'$  be a holomorphic correspondence. We say that  $f$  is *irreducible*, if the corresponding analytic set  $A \subset D \times D'$  is irreducible. The condition that  $f$  is proper is equivalent to the condition that

$$\sup\{\text{dist}(f(z), \partial D')\} \rightarrow 0, \text{ as } \text{dist}(z, \partial D) \rightarrow 0. \tag{2.8}$$

Recall that if  $A \subset D \times D'$  is a proper holomorphic correspondence, then  $\pi : A \rightarrow D$  and  $\pi' : A \rightarrow D'$  are proper. There exists a complex subvariety  $S \subset D$  and a number  $m$  such that

$$f := \pi' \circ \pi^{-1} = (f^1(z), \dots, f^m(z)), \tag{2.9}$$

where  $f^j$  are distinct holomorphic functions in a neighborhood of  $z \in D \setminus S$ . The set  $S$  is called the *branch locus* of  $f$ . We say that the correspondence  $f$  *splits* at  $z \in \overline{D}$  if there is an open subset  $U \ni z$  and holomorphic maps  $f^j : D \cap U \rightarrow D'$ ,  $j = 1, 2, \dots, m$ , that represent  $f$ .

Given a proper holomorphic correspondence  $A$ , one can find the system of canonical defining functions

$$\Phi_I(z, z') = \sum_{|J|=m} \phi_{IJ}(z)z'^J, \quad |I|=m, \quad (z, z') \in \mathbb{C}^n \times \mathbb{C}^n, \tag{2.10}$$

where  $\phi_{IJ}(z)$  are holomorphic on  $D$ , and  $A$  is precisely the set of common zeros of the functions  $\Phi_I(z, z')$ . For details see e.g. [Ch].

We define analytic continuation of analytic sets as follows. Let  $A$  be a locally complex analytic set in  $\mathbb{C}^n$  of pure dimension  $p$ . We say that  $A$  extends analytically to an open set  $U \subset \mathbb{C}^n$ ,  $A \cap U \neq \emptyset$ , if there exists a (closed) complex-analytic set  $A^*$  in  $U$  such that (i)  $\dim A^* = p$ , (ii)  $A \cap U \subset A^*$  and (iii) every irreducible component of  $A^*$  has a nonempty intersection with  $A$  of dimension  $p$ . Note that if conditions (i) and (ii) are satisfied, then the last condition can always be met by removing certain components of  $A^*$ . It follows from the uniqueness theorem that such analytic continuation of  $A$  is uniquely defined. From this we define analytic continuation of holomorphic correspondences:

**Definition 1.** Let  $U$  and  $U'$  be open sets in  $\mathbb{C}^n$ . Let  $f : U \rightarrow U'$  be a holomorphic correspondence, and let  $A \subset U \times U'$  be its graph. We say that  $f$  extends as a holomorphic correspondence to an open set  $V \subset \mathbb{C}^n$ ,  $U \cap V \neq \emptyset$ , if there exists an open set  $V' \subset \mathbb{C}^n$  such that  $A \cap (V \times V') \neq \emptyset$ ,  $A$  extends analytically to a set  $A^* \subset V \times V'$ , and  $\pi : A^* \rightarrow V$  is proper.

Note that we can always choose  $V' = \mathbb{C}^n$  in the definition above. In general, the correspondence  $g = \pi' \circ \pi^{-1} : V \rightarrow V'$ , where  $\pi' : A^* \rightarrow V'$  is the natural projection, may have more branches in  $U \cap V$  than  $f$ . The following lemma gives a simple criterion for the extension to have the same number of branches.

**Lemma 2.** Let  $A^* \subset V \times \mathbb{C}^n$  be a holomorphic correspondence which is an analytic extension of the correspondence  $A \subset U \times \mathbb{C}^n$ . Suppose that for any  $z \in (V \cap U)$ ,

$$\#\{\pi^{-1}(z)\} = \#\{\pi^{*-1}(z)\}, \tag{2.11}$$

where  $\pi : A \rightarrow U$  and  $\pi^* : A^* \rightarrow V$ . Then  $A \cup A^*$  is a holomorphic correspondence in  $(U \cup V) \times \mathbb{C}^n$ .

*Proof.* We only need to check that  $A \cup A^*$  is closed in  $(U \cup V) \times \mathbb{C}^n$ . If not, then there exists a sequence  $\{q^j\} \subset A^*$  such that  $q^j \rightarrow q^0$  as  $j \rightarrow \infty$ ,  $q^0 \in U \times \mathbb{C}^n$ , and  $q^0 \notin A$ . Then  $q^j \notin A$  for  $j$  sufficiently large. Since by the definition of analytic continuation of correspondences  $A \cap (U \cap V) \times \mathbb{C}^n \subset A^*$ , we have

$$\#\{\pi^{-1}(\pi^*(q^j))\} < \#\{\pi^{*-1}(\pi^*(q^j))\}.$$

But this contradicts (2.11). □

### 3. Extension along Segre varieties

Before we prove the main results of the paper we need to establish a technical result of local extension of holomorphic correspondence along Segre varieties. This will be used on several occasions in the proof of the main theorems.

**Lemma 3.** *Let  $\Gamma \subset \mathbb{C}^n$  be a smooth, real-analytic, essentially finite hypersurface, and let  $\Gamma' \subset \mathbb{C}^n$  be a smooth, real-algebraic, essentially finite hypersurface. Let  $0 \in \Gamma$ , and let  $U_1, U_2$  be a sufficiently small standard pair of neighborhoods of the origin. Let  $f : U \rightarrow \mathbb{C}^n$  be a holomorphic correspondence such that  $f(U \cap \Gamma) \subset \Gamma'$ , where  $U$  is some neighborhood of the origin. Then there exists a neighborhood  $V$  of  $Q_0 \cap U_1$  and an analytic set  $\Lambda \subset V$ ,  $\dim_{\mathbb{C}} \Lambda \leq n - 1$ , such that  $f$  extends to  $V \setminus \Lambda$  as a holomorphic correspondence.*

*Proof.* In the case when  $\Gamma'$  is strictly pseudoconvex and  $f$  is a germ of a biholomorphic mapping, the result was established in [S]. Here we prove the lemma in a more general situation.

Lemma 3 only makes sense if  $U \subset U_1$ . We shrink  $U$  and choose  $V$  in such a way that for any  $w \in V$ , the set  $Q_w \cap U$  is non-empty and connected. Note that if  $w \in Q_0$ , then  $0 \in Q_w$  and  $Q_w \cap U \neq \emptyset$ . Let  $S \subset U$  be the branch locus of  $f$ , and let

$$\Sigma = \{z \in V : (Q_z \cap U) \subset S\}. \tag{3.1}$$

Since  $\dim_{\mathbb{C}} S = n - 1$  and  $\Gamma$  is essentially finite,  $\Sigma$  is a finite set. Define

$$A = \{(w, w') \in (V \setminus \Sigma) \times \mathbb{C}^n : f(Q_w \cap U) \subset Q'_{w'}\}. \tag{3.2}$$

We establish the following facts about the set  $A$ :

- (i)  $A$  is not empty
- (ii)  $A$  is locally complex analytic
- (iii)  $A$  is closed
- (iv)  $\Sigma \times \mathbb{C}^n$  is a removable singularity for  $A$ .

(i)  $A \neq \emptyset$  since by the invariance property of Segre varieties,  $A$  contains the graph of  $f$ .

(ii) Let  $(w, w') \in A$ . Consider an open simply connected set  $\Omega \in (U \setminus S)$  such that  $Q_w \cap \Omega \neq \emptyset$ . Then the branches of  $f$  are correctly defined in  $\Omega$ . Since  $Q_w \cap U$  is connected, the inclusion  $f(Q_w \cap U) \subset Q'_{w'}$  is equivalent to

$$f^j(Q_w \cap \Omega) \subset Q'_{w'}, \quad j = 1, \dots, m, \tag{3.3}$$

where  $f^j$  denote the branches of  $f$  in  $\Omega$ . Note that such a neighborhood  $\Omega$  exists for any  $w \in V \setminus \Sigma$ . Inclusion (3.3) can be written as a system of holomorphic equations as follows. Let  $\rho'(z, \bar{z})$  be the defining function of  $\Gamma'$ . Then

$$\rho'(f^j(z), \overline{w'}) = 0, \quad \text{for any } z \in (Q_w \cap \Omega), \quad j = 1, 2, \dots, m. \tag{3.4}$$

We can choose  $\Omega$  in the form

$$\Omega = {}^t\Omega \times \Omega_n \subset \mathbb{C}^{n-1}_z \times \mathbb{C}_{z_n}. \tag{3.5}$$

Combining this with (2.4) we obtain

$$\rho'(f^j(z, h(z, \bar{w})), \bar{w}') = 0 \tag{3.6}$$

for any  $z \in \Omega$ . Then (3.6) is an infinite system of holomorphic equations in  $(w, w')$  thus defining  $A$  as a locally complex analytic variety in  $(V \setminus \Sigma) \times \mathbb{C}^n$ .

(iii) Let us show now that  $A$  is a closed set. Suppose that  $(w^j, w'^j) \rightarrow (w^0, w'^0)$ , as  $j \rightarrow \infty$ , where  $(w^j, w'^j) \in A$  and  $(w^0, w'^0) \in (V \setminus \Sigma) \times \mathbb{C}^n$ . Then by the definition of  $A$ ,  $f(Q_{w^j} \cap U) \subset Q'_{w'^j}$ . Since  $Q_{w^j} \rightarrow Q_{w^0}$ , and  $Q'_{w'^j} \rightarrow Q'_{w'^0}$  as  $j \rightarrow \infty$ , by analyticity  $f(Q_{w^0} \cap U) \subset Q'_{w'^0}$ , which implies that  $(w^0, w'^0) \in A$  and thus  $A$  is a closed set. Since  $A$  is locally complex-analytic and closed, it is a complex variety in  $(V \setminus \Sigma) \times \mathbb{C}^n$ . We now may restrict considerations only to the irreducible component of  $A$  which coincides with the graph of  $f$  at the origin. Then  $\dim A \equiv n$ .

(iv) Let us show now that  $\bar{A}$  is a complex variety in  $V \times \mathbb{C}^n$ . Let  $q \in \Sigma$ , then

$$\bar{A} \cap (\{q\} \times \mathbb{C}^n) \subset \{q\} \times \{z' : f(Q_q) \subset Q'_{z'}\}. \tag{3.7}$$

Notice that if  $w' \in f(Q_q) \subset Q'_{z'}$ , then by (2.2)  $z' \in Q'_{w'}$ . Hence the set  $\{z' : f(Q_q) \subset Q'_{z'}\}$  has dimension at most  $2n - 2$ , and  $\bar{A} \cap (\Sigma \times \mathbb{C}^n)$  has Hausdorff  $2n$ -measure zero. It follows from Bishop's theorem on removable singularities of analytic sets (see e.g. [Ch]) that  $\bar{A}$  is an analytic set in  $V \times \mathbb{C}^n$ .

Thus from (i)–(iv) we conclude that (3.2) defines a complex-analytic set in  $V \times \mathbb{C}^n$  which we denote again by  $A$ . Also we observe that since  $\Gamma'$  is algebraic, the system of holomorphic equations in (3.6) is algebraic in  $w'$  and thus we can define the closure of  $A$  in  $V \times \mathbb{P}^n$ . Let  $\pi : \bar{A} \rightarrow V$  and  $\pi' : \bar{A} \rightarrow \mathbb{P}^n$  be the natural projections. Since  $\mathbb{P}^n$  is compact,  $\pi^{-1}(K)$  is compact for any compact set  $K \subset V$ , and thus  $\pi$  is proper.

This, in particular, implies that  $\pi(\bar{A}) = V$ . We let  $\Lambda_1 = \pi(\pi'^{-1}(H_0))$ , where  $H_0 \subset \mathbb{P}^n$  is the hypersurface at infinity. It is easy to see that  $\Lambda_1$  is a complex analytic set of dimension at most  $n - 1$ . We also consider the set  $\Lambda_2 := \pi\{(w, w') \in \bar{A} : \dim_{\mathbb{C}} \pi^{-1}(w) \geq 1\}$ . It was shown in [S] Prop. 3.3, that  $\Lambda_2$  is a complex-analytic set of dimension at most  $n - 2$ . Let  $\Lambda = \Lambda_1 \cup \Lambda_2$ . Then  $\pi' \circ \pi^{-1}|_{V \setminus \Lambda}$  is the desired extension of  $f$  as a holomorphic correspondence. □

### 4. Proof of Theorem 2

For completeness let us repeat the argument of [BSu] to prove the “only if” direction of Theorems 1 and 2. Suppose that  $f : D \rightarrow D'$  is a proper holomorphic correspondence. We need to show that  $\partial D$  and  $\partial D'$  are locally CR-equivalent, that is there exist points  $p \in \partial D$ ,  $p' \in \partial D'$ , neighborhoods  $U \ni p$ ,  $U' \ni p'$ , and a biholomorphic map  $f : U \rightarrow U'$  with  $f(p) = p'$  and  $f(U \cap \partial D) = U' \cap \partial D'$ .

If  $D$  is not pseudoconvex, then for a point  $q \in \widehat{D}$ , there exists a neighborhood  $U \ni q$  such that all the functions in the representation (2.10) of  $f$  extend holomorphically to  $U$ . Here  $\widehat{D}$  refers to the envelope of holomorphy of  $D$ . Moreover, we can replace  $q$  with a nearby point  $p \in U \cap \partial D$  so that  $f$  splits at  $p$  and at least one of the holomorphic mappings of the splitting, say  $f^j$ , is biholomorphic at  $p$ . Then  $\partial D$  and  $\partial D'$  are locally CR-equivalent near  $p$  and  $p' = f^j(p) \in \partial D'$ .

If  $D$  is pseudoconvex, then  $D'$  is also pseudoconvex. By [BSu]  $f$  extends continuously to  $\partial D$  and we can choose  $q \in \partial D$  such that  $f$  splits in some neighborhood  $U \ni q$  to holomorphic mappings  $f^j : D \cap U \rightarrow D'$ ,  $j = 1, \dots, m$ . Since  $f^{-1} : D' \rightarrow D$  also extends continuously to  $\partial D'$ , the set  $\{f^{-1} \circ f(q)\}$  is finite. Therefore, by [Be2],  $f^j$  extend smoothly to  $\partial D \cap U$ . It follows that  $f^j$  extend holomorphically to a neighborhood of  $q$  by [Be3] and [DF2]. Finally, choose  $p \in U \cap \partial D$  such that  $f^j$  is biholomorphic at  $p$  for some  $j$ . Again  $p$  and  $p' = f^j(p)$  is the desired pair of points.

To prove Theorem 2 in the other direction, consider a neighborhood  $U$  of  $p \in \partial D$  and a biholomorphic map  $f : U \rightarrow \mathbb{C}^n$  such that  $f(U \cap \partial D) \subset \partial D'$ . Let us show that  $f$  extends to a proper holomorphic correspondence  $F : D \rightarrow D'$ .

Let  $\Gamma = \partial D$  and  $\Gamma' = \partial D'$ . Since the set of Levi non-degenerate points is dense in  $\Gamma$ , by Webster's theorem [We],  $f$  extends to an algebraic mapping, i.e. the graph of  $f$  is contained in an algebraic variety  $X \subset \mathbb{C}^n \times \mathbb{C}^n$  of dimension  $n$ . Without loss of generality assume that  $X$  is irreducible, as otherwise consider only the irreducible component of  $X$  containing  $\Gamma_f$ , the graph of the mapping  $f$ .

Let  $E = \{z \in \mathbb{C}^n : \dim \pi^{-1}(z) > 0\}$ , where  $\pi : X \rightarrow \mathbb{C}^n$  is the coordinate projection to the first component. Then  $E$  is an algebraic variety in  $\mathbb{C}^n$ . Let  $f : \mathbb{C}^n \setminus E \rightarrow \mathbb{C}^n$  now denote the multiple valued map corresponding to  $X$ . Let  $S \subset \mathbb{C}^n \setminus E$  be the branch locus of  $f$ , in other words, for any  $z \in S$  the coordinate projection onto the first component is not locally biholomorphic near  $\pi^{-1}(z)$ . To prove Theorem 2 it is enough to show that  $E \cap \Gamma = \emptyset$ .

**Lemma 4.** *Let  $p \in \Gamma$ . If  $Q_p \not\subset E$ , then  $p \notin E$ .*

*Proof.* Suppose, on the contrary, that  $p \in E$ . Since  $Q_p \not\subset E$ , there exist a point  $b \in Q_p$  and a small neighborhood  $U_b$  of  $b$  such that  $U_b \cap E = \emptyset$ . Choose neighborhoods  $U_b$  and  $U_p$  such that for any  $z \in U_p$ , the set  $Q_z \cap U_b$  is non-empty and connected. Let

$$\Sigma = \{z \in U_p : Q_z \cap U_b \subset S\}. \tag{4.1}$$

Similar to (3.2), consider the set

$$A = \{(w, w') \in (U_p \setminus \Sigma) \times \mathbb{C}^n : f(Q_w \cap U_b) \subset Q_{w'}\}. \tag{4.2}$$

Then  $A \neq \emptyset$ . Indeed, since  $\dim_{\mathbb{C}} E \leq n - 1$ , there exists a sequence of points  $\{p^j\} \subset (U_p \cap \Gamma) \setminus (E \cup \Sigma)$  such that  $p^j \rightarrow p$  as  $j \rightarrow \infty$ .

By the invariance property of holomorphic correspondences, for every  $p^j$  there exists a neighborhood  $U_j \ni p^j$  such that  $f(Q_{p^j} \cap U_j) \subset Q'_{p^j}$ , where  $p'^j \in f(p^j)$ . But this implies that  $f(Q_{p^j} \cap U_b) \subset Q'_{p'^j}$ , and therefore  $(p^j, p'^j) \in A$ . Moreover, it follows that

$$A|_{U_j \times \mathbb{C}^n} = X|_{U_j \times \mathbb{C}^n}, \quad j = 1, 2, \dots, m. \tag{4.3}$$

Similar to the proof of Lemma 3, one can show that  $A$  is a complex analytic variety in  $(U_p \setminus \Sigma) \times \mathbb{C}^n$ , and that  $\Sigma \times \mathbb{C}^n$  is a removable singularity for  $A \subset U_p \times \mathbb{C}^n$ . Denote the closure of  $A$  in  $U_p \times \mathbb{C}^n$  again by  $A$ .

Without loss of generality we assume that  $A$  is irreducible, therefore in view of (4.3) we conclude that  $A|_{U_p \times \mathbb{C}^n} = X|_{U_p \times \mathbb{C}^n}$ . Let  $\hat{f}$  be a multi-ple valued mapping corresponding to  $A$ . Then by analyticity, there exists  $p' \in \Gamma' \cap \hat{f}(p)$ . Moreover, by construction,  $\hat{f}(p) = I'_{p'}$ . By [DW],

$$I'_{z'} \subset \Gamma', \quad \text{for any } z' \in \Gamma'. \tag{4.4}$$

Now choose  $U_p$  so small that

$$\overline{A} \cap (U_p \times \partial U') = \emptyset, \tag{4.5}$$

where  $U'$  is a neighborhood of  $\Gamma'$ . This is always possible, since otherwise there exists a sequence of points  $\{(z^j, z'^j), j = 1, 2, \dots\} \subset A$ , such that  $z^j \rightarrow p$  and  $z'^j \rightarrow z'^0 \in \partial U'$  as  $j \rightarrow \infty$ . Then  $(p, z'^0) \in A$  and  $z'^0 \notin \Gamma'$ . But this contradicts (4.4).

It follows from (4.5) that  $\hat{f} : U_p \rightarrow U'$  is a holomorphic correspondence extending  $f$ , which contradicts the assumption  $p \in E$ . □

**Lemma 5.** *Let  $p \in \Gamma$ . Then  $Q_p \not\subset E$ .*

*Proof.* Suppose that  $Q_p \subset E$ . Then we find a point  $a \in (\Gamma \setminus E)$  such that  $Q_a \cap Q_p \neq \emptyset$ . The existence of such  $a$  follows, for example, from [S] Prop 4.1. (Note, that  $\dim E \cap \Gamma \leq 2n - 3$ ). By Lemma 3 the germ of the correspondence  $f$  defined at  $a$ , extends holomorphically to a neighborhood  $V$  of  $Q_a$ . Let  $\Lambda_1$  and  $\Lambda_2$  be as in Lemma 3. Since  $\dim \Lambda_2 < n - 1$ , we may assume that  $(Q_p \cap V) \not\subset \Lambda_2$ . If  $(Q_p \cap V) \subset \Lambda_1$ , we can perform a linear-fractional transformation such that  $H_0$  is mapped onto another complex hyperplane  $H \subset \mathbb{P}^n$  and such that  $H \cap \Gamma' = \emptyset$ . Note that after such transformation  $\Gamma'$  remains compact in  $\mathbb{C}^n$ . Then we may also assume that  $(Q_p \cap V) \not\subset \Lambda_1$ . Thus holomorphic extension along  $Q_a$  defines  $f$  on a non-empty set in  $Q_p$ , which contradicts the assumption  $Q_p \subset E$ . □

Theorem 2 now follows. Indeed, from Lemmas 4 and 5 we conclude that  $E \cap \Gamma = \emptyset$ . Since  $D$  is bounded,  $D \cap E = \emptyset$ , and  $X \cap (D \times D')$  defines a proper holomorphic correspondence from  $D$  to  $D'$ .

### 5. Local extension

To prove Theorem 1 we first establish local extension of holomorphic correspondences. For that we impose an additional technical condition on holomorphic correspondences as follows.

**Definition 2.** *Let  $\Gamma \subset \mathbb{C}^n$  be a smooth, real-analytic, essentially finite hypersurface, and let  $\Gamma' \subset \mathbb{C}^n$  be a smooth, compact, real-algebraic hypersurface. Let  $f : U \rightarrow \mathbb{C}^n$  be a holomorphic correspondence such that  $f(U \cap \Gamma) \subset \Gamma'$ . Then  $f$  is called complete if (i) for any  $z \in U \cap \Gamma$ ,  $f(Q_z \cap U) \subset Q'_{z'}$ ,  $z' \in f(z)$ , and (ii)  $f(z) = I'_{z'}$ .*

We note that here  $I'_{z'}$  should be understood globally as a set in  $U'$ , where  $U'$  is a neighborhood of  $\Gamma'$  chosen as in Lemma 1. By the invariance property of Segre varieties, for any  $z \in U \cap \Gamma$ ,  $f(z)Q_z \subset Q'_{z'}$ , where  $z' \in f(z)$  and  $zQ_z$  is the germ of  $Q_z$  at  $z$ . Condition (i) in the definition is somewhat stronger: it states that every connected component of  $Q_z \cap U$  is mapped by  $f$  into the same Segre variety. Also note that in general Segre varieties are defined only locally, while the set  $U$  can be relatively large. In this case the inclusion  $f(Q_z \cap U) \subset Q'_{z'}$  should be considered only in a suitable standard pair of neighborhoods of  $z$ .

Condition (ii) in the definition above indicates that  $f$  has the maximal possible number of branches. It may happen that initially a given holomorphic correspondence  $f$  misses certain branches, i.e. for  $z' \in f(z)$ ,  $f(z) \subset I'_{z'}$ , but the equality does not hold. The missing branches may reappear, for example, after an analytic continuation of  $f$ . It is convenient to establish analytic continuation of complete correspondences, as such continuation does not introduce additional branches (see Lemma 1).

**Lemma 6.** *Let  $f : U \rightarrow \mathbb{C}^n$  be a complete holomorphic correspondence,  $f(\Gamma \cap U) \subset \Gamma'$ , where  $\Gamma \subset \mathbb{C}^n$  is a smooth, real-analytic, essentially finite hypersurface, and  $\Gamma' \subset \mathbb{C}^n$  is a smooth, compact, real-algebraic hypersurface. Suppose  $p \in \partial U \cap \Gamma$  is such that  $Q_p \cap U \neq \emptyset$ . Then there exists a neighborhood  $U_p$  of  $p$  such that  $f$  extends to a holomorphic correspondence  $\hat{f} : U_p \rightarrow \mathbb{C}^n$ .*

*Proof.* The proof of this lemma repeats that of Lemma 4. Let  $b \in Q_p \cap U$ . Consider a small neighborhood  $U_b$  of  $b$ ,  $U_b \subset U$ , and a neighborhood  $U_p$  of  $p$  such that for any  $z \in U_b$ , the set  $Q_z \cap U_p$  is non-empty and connected. As before, let  $S \subset U$  be the branch locus of  $f$ , and  $\Sigma = \{z \subset U_p : Q_z \cap U_b \subset S\}$ . Define

$$A = \{(w, w') \in (U_p \setminus \Sigma) \times \mathbb{C}^n : f(Q_w \cap U_b) \subset Q'_{w'}\}. \tag{5.1}$$

Observe that since  $f$  is complete, for any  $w \in U \cap \Gamma$ , the inclusion  $f(Q_w \cap U) \subset Q'_{w'}$  is equivalent to  $f(Q_w \cap U_b) \subset Q'_{w'}$ . In particular, this holds for any  $w$  arbitrarily close to  $p$ . Therefore  $A$  is not empty as it contains the graph of  $f$  in  $U \cap U_p$ , if the neighborhood  $U_p$  is chosen sufficiently small.

Analogously to Lemma 4, one can show that  $A$  is a non-empty closed complex analytic set in  $(U_p \setminus \Sigma) \times \mathbb{C}^n$ . A similar argument also shows that  $\Sigma \times \mathbb{C}^n$  is a removable singularity for  $A$ , and thus  $\overline{A}$  defines a closed-complex analytic set in  $U_p \times \mathbb{C}^n$  of pure dimension  $n$ . For simplicity denote this set again by  $A$ .

Let us show now that  $A$  defines a holomorphic correspondence  $\hat{f} : U_p \rightarrow U'$ , where  $U'$  is a suitable neighborhood of  $\Gamma'$ .

Consider the closure of  $A$  in  $U_p \times \mathbb{P}^n$ . Recall, that since  $\mathbb{P}^n$  is compact, the projection  $\pi : \overline{A} \rightarrow U_p$  is proper. In particular,  $\pi(\overline{A}) = U_p$ . Let  $U'$  be a neighborhood of  $\Gamma'$  as in Lemma 1. To simplify the notation, denote the restriction of  $\overline{A}$  to  $U_p \times U'$  again by  $A$ . Let  $\pi : A \rightarrow U_p$  and  $\pi' : A \rightarrow U'$  be the natural projections, and let  $\hat{f} = \pi' \circ \pi$ .

Let  $Z = \pi'^{-1}(\Gamma')$ . Then since  $f(\Gamma \cap U) \subset \Gamma'$ ,  $\pi^{-1}(\Gamma \cap U \cap U_p) \subset Z$ . Therefore there exists at least one irreducible component of  $\pi^{-1}(\Gamma \cap U_p)$  which is contained in  $Z$ . Thus for any  $z \in \Gamma \cap U_p$ , there exists  $z' \in \Gamma'$  such that  $z' \in \hat{f}(z)$ . By construction, if  $z \in U_p$  and  $z' \in \hat{f}(z)$ , then  $\hat{f}(z) = I'_{z'}$ . In view of (4.4) we conclude that  $\hat{f}(\Gamma \cap U_p) \subset \Gamma'$ . Now the same argument as in Lemma 4 shows that  $U_p$  can be chosen so small that  $\hat{f}$  is a holomorphic correspondence. □

Note that without the assumption of completeness of  $f$ , the set  $A$  constructed in the proof of Lemma 6 would still be a holomorphic correspondence. However, it is not apriori clear why this correspondence would coincide with  $f$  near  $p$ .

**Theorem 4 (Local extension).** *Let  $D$  and  $D'$  be as in Theorem 1,  $\Gamma = \partial D$  and  $\Gamma' = \partial D'$ . Let  $f : U \rightarrow \mathbb{C}^n$  be a complete holomorphic correspondence, such that  $f(\Gamma \cap U) \subset \Gamma'$ , and  $\Gamma \cap U$  is connected. Suppose that  $p \in \partial U \cap \Gamma$ , and  $\Gamma \cap \partial U$  is a smooth submanifold near  $p$ . Then there exists a neighborhood  $U_p$  of the point  $p$  such that  $f$  extends to a holomorphic correspondence  $\hat{f} : U_p \rightarrow \mathbb{C}^n$ . Moreover,  $\hat{f}|_{U \cap U_p} = f|_{U \cap U_p}$ , and the resulting correspondence  $F : U \cup U_p \rightarrow \mathbb{C}^n$  is complete.*

*Proof.* We call a point  $p \in \partial U \cap \Gamma$  regular, if near  $p$ ,  $\partial U \cap \Gamma$  is a generic submanifold of  $\Gamma$ , i.e.  $T_p^c(\partial U \cap \Gamma) = n - 2$ . We prove the theorem in three steps. First we prove the result under the assumption  $Q_p \cap U \neq \emptyset$ , then for regular points in  $\partial U \cap \Gamma$ , and finally for arbitrary  $p \in \partial U \cap \Gamma$ .

*Step 1.* Suppose that  $Q_p \cap U \neq \emptyset$ . Then by Lemma 6  $f$  extends as a holomorphic correspondence  $\hat{f}$  to some neighborhood  $U_p$  of  $p$ . It follows from the construction that for any  $z \in U \cap U_p$  the number of preimages of  $f(z)$  and  $\hat{f}(z)$  is the same. Thus by Lemma 2,  $f$  and  $\hat{f}$  define a holomorphic correspondence in  $U \cup U_p$ . Denote this correspondence by  $F$ .

We now show that  $F$  is also complete in  $U \cup U_p$ . We only need to prove that for all  $z \in (U \cup U_p) \cap \Gamma$ , the set  $Q_z \cap (U \cup U_p)$  is mapped by  $F$

to the same Segre variety. First, observe that since  $f$  is complete, for any  $z \in U \cap U_p \cap \Gamma$  arbitrarily close to  $p$ ,  $f(Q_z \cap U) \subset Q'_{f(z)}$ . Thus if  $U_p$  is chosen sufficiently small, then for any  $z \in U_p \cap \Gamma$ ,

$$F(Q_z \cap (U \cup U_p)) \subset Q'_{F(z)}. \quad (5.2)$$

Suppose now that there exists some point  $z$  in  $(U \cup U_p) \cap \Gamma$  such that not all components of  $Q_z \cap (U \cup U_p)$  are mapped by  $F$  into the same Segre variety. From the argument above,  $z \notin U_p$ . Since  $U \cap \Gamma$  is connected, there exists a simple smooth curve  $\gamma \subset \Gamma \cap U$  connecting  $z$  and  $p$ . By Lemma 3 for every point  $\zeta \in \gamma$ , the germ of a correspondence  $F$  at  $\zeta$  extends as a holomorphic correspondence along the Segre variety  $Q_\zeta$ . Moreover, for  $\zeta \in \gamma$  which are close to  $p$ , the extension of  $F$  along  $Q_\zeta$  coincides with the correspondence  $f$  in  $U$  (even if  $Q_\zeta \cap U$  is disconnected). Since  $\cup_{\zeta \in \gamma} Q_\zeta$  is connected, this property holds for all  $\zeta \in \gamma$ . The extension of  $F$  along  $Q_\zeta$  clearly maps  $Q_\zeta$  into  $Q'_{F(\zeta)}$ , and therefore  $Q_\zeta \cap U$  is mapped by  $f$  into the same Segre variety. But this contradicts the assumption that the components of  $Q_z \cap U$  are mapped into different Segre varieties. This shows that  $F$  is also a complete correspondence.

*Step 2.* Suppose now that  $Q_p \cap U = \emptyset$ , but  $p$  is a regular point. Then by [S] Prop. 4.1, there exists a point  $a \in \Gamma \cap U$  such that  $Q_a \cap Q_p \neq \emptyset$ . We now apply Lemma 3 to extend the germ of the correspondence at  $a$  along  $Q_a$ . We note that such extension along  $Q_a$  may not in general define a complete correspondence, since a priori  $Q_a \cap \Gamma$  may be disconnected from  $U \cap \Gamma$ . Let  $\Lambda$  be as in Lemma 3. Then after performing, if necessary, a linear-fractional transformation in the target space, we can find a point  $b \in Q_p \cap Q_a$ , such that  $b \notin \Lambda$ . Let  $U_b$  be a small neighborhood of  $b$  such that  $U_b \cap \Lambda = \emptyset$  and  $f$  extends to  $U_b$  as a holomorphic correspondence  $f_b$ . Moreover, without loss of generality we may also assume that  $U_b \cap \Gamma = \emptyset$ . Then for any  $z \in U \cap \Gamma$  such that  $Q_z \cap U_b \neq \emptyset$ , the sets  $f(Q_z \cap U)$  and  $f_b(Q_z \cap U_b)$  are contained in the same Segre variety. Indeed, if not, then we can connect  $a$  and  $z$  by a smooth path  $\gamma \subset \Gamma \cap U$  and apply the argument that we used to prove completeness of  $F$  in Step 1.

Now we can apply the argument in Step 1 to show that  $f$  extends as a holomorphic correspondence to some neighborhood of  $p$ , and that the resulting extension is also complete.

*Step 3.* Suppose now that  $p \in \partial U \cap \Gamma$  is not a regular point. Let

$$M = \{z \in \partial U \cap \Gamma : T_z(\partial U \cap \Gamma) = T_z^c(\Gamma)\}. \quad (5.3)$$

It is easy to see that  $M$  is a locally real-analytic subset of  $\Gamma$ . Moreover, since  $\Gamma$  is essentially finite,  $\dim M < 2n - 2$ . Choose the coordinate system such that  $p = 0$  and the defining function of  $\Gamma$  is given in the so-called normal form (see [CM]):

$$\rho(z, \bar{z}) = 2x_n + \sum_{|k|, |l| \geq 1} \rho_{k,l}(y_n) (\prime z)^k (\bar{\prime z})^l, \quad (5.4)$$

where  $'z = (z_1, \dots, z_{n-1})$ . Since the extendability of  $f$  through regular points is already established, after possibly an additional change of variables, we may assume that  $f$  extends as a holomorphic correspondence to the points  $\{z \in \partial U \cap \Gamma : x_1 > 0\}$ . Let  $L_c$  denote the family of real hyperplanes in the form  $\{z \in \mathbb{C}^n : x_1 = c\}$ . Then there exists  $\epsilon > 0$  such that for any  $c \in [-\epsilon, \epsilon]$ ,

$$T_z^c(\Gamma) \neq T_z(L_c \cap \Gamma), \text{ for any } z \in L_c \cap \Gamma \cap \mathbb{B}(0, \epsilon). \tag{5.5}$$

Let  $\Omega_{c,\delta}$  be the intersection of  $\Gamma$ , the  $\delta$ -neighborhood of  $x_1$ -axis and the set bounded by  $L_c$  and  $L_{c+\delta}$ , that is

$$\Omega_{c,\delta} = \left\{ z \in \Gamma \cap \mathbb{B}(0, \epsilon) : c < x_1 < c + \delta, y_1^2 + \sum_{j=2}^n |z_j|^2 < \delta \right\}. \tag{5.6}$$

Then there exist  $\delta > 0$  and  $c > 0$ , such that  $f$  extends as a holomorphic correspondence to a neighborhood of the set  $\Omega_{c,\delta}$ . Since  $L_c \cap \Gamma$  consists only of regular points, from Steps 1 and 2 we conclude that  $f$  extends to a neighborhood of any point in  $L_c \cap \Gamma$  that belongs to the boundary of  $\Omega_{c,\delta}$ . Let  $c_0$  be the smallest number such that  $f$  extends past  $L_{c_0} \cap \Gamma$ . Then from (5.5) and previous steps,  $c_0 < 0$ , and therefore,  $f$  extends to a neighborhood of the origin.  $\square$

We remark that Theorem 4 also holds in the case when  $\Gamma$  is an arbitrary smooth, real-analytic, essentially finite hypersurface. The proof is the same.

### 6. Proof of Theorem 1

The proof of Theorem 1 in one direction, namely, that existence of a proper holomorphic correspondence  $f : D \rightarrow D'$  implies the local equivalence of boundaries  $\partial D$  and  $\partial D'$  near some points  $p \in \partial D$  and  $p' \in \partial D'$ , is the same as in Theorem 2.

To prove the theorem in the other direction let us first show that a germ of a biholomorphic map  $f : U \rightarrow \mathbb{C}^n$ ,  $f(U \cap \Gamma) \subset \Gamma'$  can be replaced by a complete correspondence. Without loss of generality we assume that  $0 \in U \cap \Gamma$ . We choose a small neighborhood  $U_0$  of the origin and shrink  $U$  in such a way, that  $Q_w \cap U$  is non-empty and connected for any  $w \in U_0$ . Let  $U'$  be a neighborhood of  $\Gamma'$  as in Lemma 1. Define

$$A = \{(w, w') \in U_0 \times U' : f(Q_w \cap U) \subset Q'_{w'}\}. \tag{6.1}$$

Then (6.1) defines a holomorphic correspondence, which in particular contains the germ of the graph of  $f$  at the origin. Let  $\hat{f}$  be the multiple valued mapping corresponding to  $A$ .

Let us show that  $\hat{f}$  is a complete correspondence. It follows from the construction of the set  $A$  and from (4.4) that

$$\hat{f}(w) = I'_{w'}, \quad w' \in \hat{f}(w). \quad (6.2)$$

Thus it only remains to show that for any  $z \in \Gamma \cap U_0$  the correspondence  $\hat{f}$  maps all connected components of  $Q_z \cap U_0$  to the same Segre variety. Note that for any  $z \in U_0$  the set  $Q_z \cap U$  is connected, and therefore all components of  $Q_z \cap U_0$  are mapped by  $f$  to the same Segre variety. We claim that

$$f(Q_z \cap U_0) \subset Q'_{z'} \Rightarrow \hat{f}(Q_z \cap U_0) \subset Q'_{z'}. \quad (6.3)$$

Indeed, the first inclusion in (6.3) implies that  $z' \in I'_{f(z)}$ . If  $w \in Q_z \cap U_0$  and  $w' \in \hat{f}(w)$ , then by construction  $f(Q_w \cap U_0) \subset Q'_{w'}$ . From (2.2)  $z \in Q_w$ , and therefore,  $f(z) \in Q'_{w'}$ . The last inclusion implies that  $w' \in Q'_{f(z)}$ . Since  $w'$  was arbitrary, (6.3) follows. Finally, (6.2) and (6.3) imply that  $\hat{f}$  is a complete correspondence.

If  $\partial U_0$  is smooth, then by Theorem 4 we can locally extend  $\hat{f}$  along  $\Gamma$  past the boundary of  $U_0 \cap \Gamma$  to a larger open set  $\Omega$ . This process of local analytic continuation of  $\hat{f}$  past the boundary of  $\Omega$  can be continued indefinitely. However, local extension in general does not imply that  $A$  is a closed set in  $\Omega \times \mathbb{C}^n$ . Indeed, there may exist a point  $p \in \Gamma \cap \partial\Omega$  such that for any sufficiently small neighborhood  $V$  of  $p$ ,  $\Omega \cap V \cap \Gamma$  consists of two connected components, say  $\Gamma_1$  and  $\Gamma_2$ . Then local extension from  $\Gamma_1$  to a neighborhood of  $p$  may not coincide with the correspondence  $\hat{f}$  defined in  $\Gamma_2$ . Therefore, local extension past the boundary of  $\Omega \cap \Gamma$  does not lead to a correspondence defined globally in a neighborhood of  $\overline{\Omega} \times \mathbb{C}^n$ . Note that this cannot happen if  $\Omega$  is sufficiently small.

We now show that  $\hat{f}$  extends analytically along any path on  $\Gamma$ .

**Lemma 7.** *Let  $\gamma : [0, 1] \rightarrow \Gamma$  be a simple curve without self-intersections, and  $\gamma(0) = 0$ . Then there exist a neighborhood  $V$  of  $\gamma$  and a holomorphic correspondence  $F : V \rightarrow \mathbb{C}^n$  which extends  $\hat{f}$ .*

*Proof.* Suppose that  $\hat{f}$  does not extend along  $\gamma$ . Then let  $\zeta \in \gamma$  be the first point to which  $\hat{f}$  does not extend. Let  $\epsilon_0 > 0$  be so small that  $\mathbb{B}(\zeta, \epsilon) \cap \Gamma$  is connected and simply connected for any  $\epsilon \leq \epsilon_0$ . Choose a point  $z \in B(\zeta, \epsilon_0/2) \cap \gamma$  to which  $\hat{f}$  extends. Let  $\delta$  be the largest positive number such that  $\hat{f}$  extends holomorphically to  $\mathbb{B}(z, \delta) \cap \Gamma$ . By Theorem 4  $\hat{f}$  extends to a neighborhood of every point in  $\partial\mathbb{B}(z, \delta) \cap \Gamma$ . Moreover, if  $\mathbb{B}(z, \delta) \subset \mathbb{B}(\zeta, \epsilon_0)$ , then the extension of  $\hat{f}$  is a closed complex analytic set. Thus  $\delta > \epsilon_0/2$ . This shows that  $\hat{f}$  also extends to  $\zeta$ , and therefore extends along  $\gamma$ .  $\square$

Note that analytic continuation of  $\hat{f}$  along  $\gamma$  in Lemma 7 always yields a complete correspondence.

The Monodromy theorem cannot be directly applied for multiple valued mappings, and we need to show that analytic continuation is independent of the choice of a curve connecting two points on  $\Gamma$ .

**Lemma 8.** *Suppose that  $\gamma \subset \Gamma$  is a Jordan curve  $\gamma(0) = \gamma(1) = 0$ . Let  $F$  be the holomorphic correspondence defined near the origin and obtained by analytic continuation of  $\hat{f}$  along  $\gamma$ . Then  $F = \hat{f}$  in some neighborhood of the origin.*

*Proof.* Since  $\Gamma$  is simply connected and compact, there exists  $\epsilon_0 > 0$  such that for any  $z \in \Gamma$ ,  $\mathbb{B}(z, \epsilon) \cap \Gamma$  is connected and simply connected for any  $\epsilon \leq \epsilon_0$ .

Let  $\phi$  be the homotopy map, that is  $\phi(t, \tau) : I \times I \rightarrow \Gamma$ ,  $\phi(t, 0) = \gamma(t)$ ,  $\phi(t, 1) \equiv 0$ ,  $I = [0, 1]$ . Let  $\{(t_j, \tau_k) \in I \times I, j, k = 0, 1, 2, \dots, m\}$  be the set of points satisfying:

- (i)  $t_0 = \tau_0 = 0, t_m = \tau_m = 1$ ,
- (ii)  $\{\phi(t, \tau_k) : t_j \leq t \leq t_{j+1}\} \subset \mathbb{B}(\phi(t_j, \tau_k), \epsilon_0/2)$ ,  
 $\{\phi(t_j, \tau) : \tau_k \leq \tau \leq \tau_{k+1}\} \subset \mathbb{B}(\phi(t_j, \tau_k), \epsilon_0/2)$ , for any  $j, k < m$ .

Suppose that  $f$  is a complete holomorphic correspondence defined in a ball  $B$  of small radius centered at  $\phi(t_j, \tau_k) \in \Gamma$ . By Theorem 4,  $f$  extends holomorphically past every boundary point of  $\partial B \cap \Gamma$ . Since  $B(\phi(t_j, \tau_k), \epsilon_0)$  is connected and simply connected,  $f$  extends at least to a ball of radius  $\epsilon_0/2$ . Consider the closed path  $\gamma_{j,k} = \{\phi(t, \tau_k) : t_j \leq t \leq t_{j+1}\} \cup \{\phi(t_{j+1}, \tau) : \tau_k \leq \tau \leq \tau_{k+1}\} \cup \{\phi(t, \tau_{k+1}) : t_j \leq t \leq t_{j+1}\} \cup \{\phi(t_j, \tau) : \tau_k \leq \tau \leq \tau_{k+1}\}$ , where the second and fourth pieces are traversed in the opposite direction. Then  $\gamma_{j,k}$  is entirely contained in  $(B(\phi(t_j, \tau_k), \epsilon_0/2))$ . Therefore, analytic continuation of  $f$  along  $\gamma_{j,k}$  defines the same correspondence at  $\phi(t_j, \tau_k)$ .

Analytic continuation of  $\hat{f}$  along  $\gamma$  can be reduced to continuation along paths  $\gamma_{j,k}$ . Since continuation along each path  $\gamma_{j,k}$  does not introduce new branches of  $\hat{f}$ ,  $F = \hat{f}$ . □

Thus simple connectivity of  $\Gamma$  implies that the process of local extension of  $\hat{f}$  leads to a global extension of  $\hat{f}$  to some neighborhood of  $\Gamma$ . Since  $\hat{f}(\Gamma) \subset \Gamma'$ , there exist neighborhoods  $U$  of  $\Gamma$  and  $U'$  of  $\Gamma'$  such that  $\hat{f} : U \rightarrow U'$  is a proper holomorphic correspondence. Let  $A$  be the analytic set corresponding to  $\hat{f}$ . By (2.10) there exist functions  $\phi_{IJ}$  holomorphic in  $U$  such that  $A$  is determined from the system  $\sum_{|J| \leq m} \phi_{IJ}(z) z'^J = 0$ . By Hartog's theorem all  $\phi_{IJ}$  extend holomorphically to a neighborhood of  $\bar{D}$ , (recall that  $\Gamma = \partial D$ ). This defines a proper holomorphic correspondence  $f : D \rightarrow D'$ . □

## References

- [A] Alexander, H.: Holomorphic mappings from the ball and polydisc. *Math. Ann.* **209**, 249–256 (1974)
- [BER1] Baouendi, M.S., Ebenfelt, P., Rothschild, L.: Local geometric properties of real submanifolds in complex space. *Bull. Am. Math. Soc., New Ser.* **37**, 309–336 (2000)
- [BER2] Baouendi, M., Ebenfelt, P., Rothschild, L.: *Real Submanifolds in Complex Space and Their mappings*. Princeton Univ. Press 1999
- [Bd] Bedford, E.: Proper holomorphic mappings from domains with real analytic boundary. *Am. J. Math.* **106**, 745–760 (1984)
- [BB1] Bedford, E., Bell, S.: Boundary behavior of proper holomorphic correspondences. *Math. Ann.* **272**, 505–518 (1985)
- [BB2] Bedford, E., Bell, S.: *Holomorphic correspondences of bounded domains in  $\mathbb{C}^n$* . Lecture Notes Math. 1094, pp. 1–14. Berlin: Springer 1984
- [Be1] Bell, S.: Proper holomorphic correspondences between circular domains. *Math. Ann.* **270**, 393–400 (1985)
- [Be2] Bell, S.: Local regularity of CR mappings. *Math. Z.* **199**, 357–368 (1988)
- [Be3] Bell, S.: Local regularity of CR homeomorphisms. *Duke Math. J.* **57**, 295–300 (1988)
- [BSu] Berteloot, F., Sukhov, A.: On the continuous extension of holomorphic correspondences. *Ann. Sc. Norm. Super. Pisa, Cl. Sci., IV. Ser.* **24**, 747–766 (1997)
- [BS] Burns, D., Shnider, S.: Spherical hypersurfaces in complex space. *Invent. Math.* **33**, 223–246 (1976)
- [Ca] Cartan, E.: Sur la géométrie pseudo-conforme des hypersurfaces de deux variables complexes. I *Ann. Mat. Pura Appl., IV. Ser.* **11**, 17–90 (1932); II *Ann. Sc. Norm. Super. Pisa, Cl. Sci., IV. Ser.* **1**, 333–354 (1932)
- [CJ] Chern, S.-S., Ji, S.: On the Riemann mapping theorem. *Ann. Math.* **144**, 421–439 (1996)
- [CM] Chern, S., Moser, J.: Real hypersurfaces in complex manifolds. *Acta Math.* **133**, 219–271 (1974)
- [Ch] Chirka, E.: *Complex analytic sets*. Dordrecht: Kluwer 1989
- [DF1] Diederich, K., Fornæss, J.E.: Pseudoconvex domains with real-analytic boundaries. *Ann. Math.* **107**, 371–384 (1978)
- [DF2] Diederich, K., Fornæss, J.E.: Proper holomorphic mappings between real-analytic pseudoconvex domains in  $\mathbb{C}^n$ . *Math. Ann.* **282**, 681–700 (1988)
- [DFY] Diederich, K., Fornæss, J.E., Ye., Z.: Biholomorphisms in dimension 2. *J. Geom. Anal.* **4**, 539–552 (1994)
- [DP1] Diederich, K., Pinchuk, S.: Proper Holomorphic Maps in Dimension 2 *Extend. Indiana Univ. Math. J.* **44**, 1089–1126 (1995)
- [DW] Diederich, K., Webster, S.: A reflection principle for degenerate real hypersurfaces. *Duke Math. J.* **47**, 835–845 (1980)
- [La] Landucci, M.: On the proper holomorphic equivalence for a class of pseudoconvex domains. *Trans. Am. Math. Soc.* **282**, 807–811 (1984)
- [P1] Pinchuk, S.: On the analytic continuation of holomorphic mappings. *Math. USSR-Sb.* **27**, 375–392 (1975)
- [P2] Pinchuk, S.: On holomorphic maps of real-analytic hypersurfaces. *Math. USSR Sb.* **34**, 503–519 (1978)
- [P3] Pinchuk, S.: On the boundary behavior of analytic sets and algebroid mappings. *Sov. Math. Dokl.* **27**, 82–85 (1983)
- [Ro] Rosay, J.-P.: Sur une caractérisation de la boule parmi les domaines de  $\mathbb{C}^n$  par son groupe d'automorphismes. *Ann. Inst. Fourier* **29**, 91–97 (1979)
- [Ru] Rudin, W.: Holomorphic maps that extend to automorphisms of a ball. *Proc. Am. Math. Soc.* **81**, 429–432 (1981)
- [Se] Segre, B.: Questioni geometriche legate colla teoria delle funzioni di due variabili complesse. *Rend. Semin. Mat. Roma* **7**, 59–107 (1931)

- [S] Shafikov, R.: Analytic Continuation of Germs of Holomorphic Mappings between Real Hypersurfaces in  $\mathbb{C}^n$ . *Mich. Math. J.* **47**, 133–149 (2000)
- [St1] Stein, K.: Maximale holomorphe und meromorphe Abbildungen. II. *Am. J. Math.* **86**, 823–868 (1964)
- [St2] Stein, K.: Topics on holomorphic correspondences. *Rocky Mt. J. Math.* **2**, 443–463 (1972)
- [T] Tanaka, N.: On the pseudo-conformal geometry of hypersurfaces of the space of  $n$  complex variables. *J. Math. Soc. Japan* **14**, 397–429 (1962)
- [V] Verma, K.: Boundary regularity of correspondences in  $\mathbb{C}^2$ . *Math. Z.* **231**, 253–299 (1999)
- [We] Webster, S.: On the mapping problem for algebraic real hypersurfaces. *Invent. Math.* **43**, 53–68 (1977)
- [Wo] Wong, B.: Characterization of the unit ball in  $C^n$  by its automorphism group. *Invent. Math.* **41**, 253–257 (1977)