

# INTRODUCTION TO RIEMANN SURFACES

## SHORT SUMMARY OF LECTURES

### 1. BASIC DEFINITIONS AND EXAMPLES

1. Definition of a holomorphic function  $f : \Omega \rightarrow \mathbb{C}$ , where  $\Omega$  is a domain in  $\mathbb{C}$ . Unique continuation property of holomorphic functions.

2. An *analytic element* is a pair  $F = (f, U)$ , where  $f \in \mathcal{O}(U)$  is a holomorphic function given by a convergent power series centred at a point  $z_0 \in U$ , and  $U = \{z \in \mathbb{C} : |z - z_0| < R\}$  is the domain of convergence of the power series. We say that an analytic element  $F = (f, U)$  is an *immediate analytic continuation* of an analytic element  $G = (g, V)$ , if  $f|_{U \cap V} = g|_{U \cap V}$ . We say that  $F = (f, U)$  is an *analytic continuation* of  $G = (g, V)$  if there exists a chain of analytic elements  $H_j = (h_j, U_j)$ ,  $j = 1, \dots, n$ , such that  $F = H_1$ ,  $G = H_n$ , and  $H_j$  is an immediate analytic continuation of  $H_{j-1}$ . We say that  $F$  is an analytic continuation of  $G$  along a curve  $\gamma$ , if in addition the centres of  $U_j$  can be chosen to be on  $\gamma$ . By uniqueness, the analytic continuation does not depend on the choice of the analytic elements.

3. Monodromy Theorem: if an element  $F$  can be continued analytically along any path in a simply connected domain  $\Omega$ , then  $F$  extends to a holomorphic function  $F : \Omega \rightarrow \mathbb{C}$ .

4. Definition: An analytic function is the union of all analytic elements which are obtained by analytic continuation of some analytic element  $F_0$ . Examples:  $\sqrt{z}$ ,  $\log z$ , etc.

5. Two analytic functions agree if there exists a common analytic element. An analytic function can have at most countably many values at a given point (Poincaré - Volterra theorem).

6. Instead of analytic elements, one may consider *germs* of holomorphic functions.

7. A Riemann surface of an analytic function  $F$  on a domain  $\Omega \subset \mathbb{C}$  can be defined as follows: Let  $\mathcal{R}$  be the set of points  $A = \{a, F_a(z)\}$ , where  $a \in \Omega$ , and  $F_a(z)$  is an analytic element defined on a disc centred at  $a$ . Given  $\epsilon > 0$  and a point  $A \in \mathcal{R}$ , the  $\epsilon$ -neighbourhood of  $A$  is defined to be the set

$$\{B = \{b, F_b(z)\} : |a - b| < \epsilon, F_b \text{ is an immediate analytic continuation of } F_a\}.$$

This defines a Hausdorff topology on  $\mathcal{R}$ . The projection  $\pi : \mathcal{R} \rightarrow \Omega$  is given by  $\pi(\{a, F_a(z)\}) = a$ . Then  $\pi$  is a local homeomorphism. Using  $\pi$  we define the complex structure on  $\mathcal{R}$ , which turns  $\mathcal{R}$  into a Riemann surface. It is called the Riemann surface of the function  $F$ . For example, the Riemann surface of  $\sqrt{z}$  is a two-sheeted cover of  $\mathbb{C} \setminus \{0\}$ , and the Riemann surface of  $\log z$  is an infinite-sheeted cover of  $\mathbb{C} \setminus \{0\}$ .

The Riemann surface of an analytic function  $F$  is the natural domain of existence of the holomorphic function  $\pi \circ F$ , which is single-valued on  $\mathcal{R}$ .

If we drop the assumption that  $\mathcal{R}$  contains only analytic elements of one analytic function we will obtain a topological space containing analytic elements of all analytic functions. A Riemann surface of a particular analytic function is then a connected open set in  $\mathcal{R}$ . If we consider instead of analytic elements the germs of holomorphic functions, and define  $\mathcal{R}$  similarly, we obtain the stalk space of the sheaf of germs of holomorphic functions. (This will not be used in the course).

8. Definition: A complex manifold of complex dimension  $n$  is a topological manifold of dimension  $2n$ , whose transition functions are biholomorphisms between open sets in  $\mathbb{C}^n \cong \mathbb{R}^{2n}$ . Theorem: A Riemann surface of an analytic function  $F$  is a complex manifold of dimension 1.

9. Definition: A Riemann surface is a complex manifold of dimension 1.

10: Examples of Riemann surfaces:

- (1) domains in  $\mathbb{C}$ ;
- (2)  $\mathbb{P}_1 \cong \mathbb{C} \cup \{\infty\}$ . The smallest atlas required for this surface has two coordinate charts. It can also be given as a quotient of  $\mathbb{C}^2 \setminus \{0\}$  under the equivalence relation  $z \sim w \iff z = \lambda w$ ,  $\lambda \in \mathbb{C} \setminus \{0\}$ .  $\mathbb{P}_1$  is compact.
- (3) The quotient  $\mathbb{C}/2\pi\mathbb{Z}$  is a Riemann surface, where  $2\pi\mathbb{Z}$  is a subgroup of  $\{\mathbb{C}, +\}$ . It is conformally equivalent to  $\mathbb{C} \setminus \{0\}$ .
- (4) Let  $\omega_1, \omega_2$  be  $\mathbb{R}$ -linearly independent vectors in  $\mathbb{C}$ . Then

$$L = \mathbb{Z}\omega_1 + \mathbb{Z}\omega_2$$

is called a lattice. Again, it is a subgroup of  $\{\mathbb{C}, +\}$ . The quotient  $X = \mathbb{C}/L$  is a compact Riemann surface. It is topologically  $S^1 \times S^1$ , and is called a *complex torus*.

- (5) If  $f : \Omega \rightarrow \mathbb{C}^n$  is a holomorphic map on a domain  $\Omega \subset \mathbb{C}$ , then

$$\Gamma_f = \{(z, w) : z \in \Omega, w = f(z)\},$$

the graph of  $f$  is a Riemann surface.

- (6) A parametrized holomorphic curve in  $\mathbb{C}^n$  is a holomorphic map  $f : \Omega \rightarrow \mathbb{C}^n$ . If the gradient  $\nabla f \neq 0$ , then its image  $f(\Omega)$  is a Riemann surface. This follows from the holomorphic version of the implicit function theorem. The points where  $\nabla f = 0$  are called the singular points of the curve. Near these points  $f(\Omega)$  is not a complex manifold.
- (7) If  $f(z_1, z_2)$  is a holomorphic function on a domain  $D \subset \mathbb{C}^2$ , then the set

$$\{z = (z_1, z_2) \in \mathbb{C}^2 : f(z) = 0\}$$

is called a holomorphic curve. If  $\nabla f(z) \neq 0$  for any  $z$  where  $f(z) = 0$ , then the holomorphic curve is a Riemann surface.

## 2. ALGEBRAIC CURVES

1. An affine algebraic curve in  $\mathbb{C}^2$  is a holomorphic curve defined by a holomorphic polynomial.
2. A projective space  $\mathbb{P}_n$ ,  $n \geq 1$ , can be obtained as a quotient space

$$(\mathbb{C}^{n+1} \setminus \{0\}) / \sim, \text{ where } z \sim w \iff z = \lambda w, \lambda \in \mathbb{C} \setminus \{0\}.$$

The space  $\mathbb{P}_n$  can be equipped with homogeneous coordinates  $[z_0, \dots, z_n]$ . It is a compact complex manifold of dimension  $n$ . The set

$$U_0 = \{[z_0, \dots, z_n] \in \mathbb{P}_n : z_0 \neq 0\}$$

can be identified with  $\mathbb{C}^n$ . The set  $L_\infty = \{[z_0, \dots, z_n] \in \mathbb{P}_n : z_0 = 0\} \cong \mathbb{P}_{n-1}$  is called the hypersurface at infinity.

3. A projective algebraic curve in  $\mathbb{P}_2$  is defined as

$$\{[z_0, z_1, z_2] \in \mathbb{P}_2 : P(z_0, z_1, z_2) = 0\},$$

where  $P(z)$  is a homogeneous polynomial in  $\mathbb{C}^3$  of degree  $d$ , i.e., it satisfies  $P(tz) = t^d P(z)$  for every  $t \in \mathbb{C}$ . A projective curve is a compact subset of  $\mathbb{P}_2$ .

4. Given an affine algebraic curve  $X \subset \mathbb{C}^2$ , its compactification in  $\mathbb{P}_2$  can be defined as follows. If  $X$  is given by a polynomial  $P(w_1, w_2)$  of degree  $d > 0$  on  $\mathbb{C}^2$ , consider the projectivization of  $P(w)$ :

$$\hat{P}(z_0, z_1, z_2) = z_0^d P(z_1/z_0, z_2/z_0).$$

One can immediately verify that  $\hat{P}(z)$  is a homogeneous polynomial of degree  $d$ . Its zero set in  $U_0$  coincides with  $X$ , and thus the projective curve  $\hat{P}(z) = 0$  is closure of  $X$  in  $\mathbb{P}_2$ .

5. The closure of a complex line in  $\mathbb{C}^n$  is called a projective line.

6. Theorem: If  $P(z_0, z_1, z_2)$  is a homogeneous polynomial of degree  $d > 0$ , and 0 is the only solution of

$$\frac{\partial P}{\partial z_0} = \frac{\partial P}{\partial z_1} = \frac{\partial P}{\partial z_2} = 0,$$

Then  $X = \{P(z) = 0\} \subset \mathbb{P}_2$  is a compact Riemann surface.

### 3. BASIC FUNCTION THEORY ON RIEMANN SURFACES

Holomorphic and meromorphic functions on Riemann surfaces. Local normal form of a holomorphic function: in a suitable coordinate system centred at a point  $z_0$  any nonconstant holomorphic function  $F$  has the form  $z \rightarrow z^m$ , where  $m$  is a positive integer, and  $z_0$  corresponds to the origin. The number  $m$  is called the multiplicity of the map  $F$  at the point  $z_0$ , notation:  $m = \text{Mult}_{z_0}(F)$ . A meromorphic function on a Riemann surface can be viewed as holomorphic map into  $\mathbb{P}_1$ .

**Theorem 3.1.** *Let  $F : X \rightarrow Y$  be a holomorphic map between compact connected Riemann surfaces. Then the quantity*

$$\text{Deg}(F) = \sum_{z \in F^{-1}(w)} \text{Mult}_z(F)$$

*is independent of the choice of the point  $w \in Y$ . It is called the degree of the map  $F$ .*

**Corollary 3.1.** *Any meromorphic function on  $\mathbb{P}_1$  is a quotient of two homogeneous polynomials of the same degree.*

## 4. CLASSIFICATION OF COMPACT SURFACES

Any orientable compact surface is homeomorphic to a sphere with  $g$  handles,  $g \geq 0$ . A sphere with  $g$  handles can be obtained by taking a connected sum of  $g$  tori. The nonorientable surface  $\mathbb{R}P_2$  can be obtained by attaching a disc to the boundary of a Möbius band. Any compact nonorientable surface is homeomorphic to a connected sum of  $h$  copies of  $\mathbb{R}P_2$ .

Any Riemann surface is orientable, therefore, any compact Riemann surface is homeomorphic to a sphere with  $g$  handles. A compact Riemann surface admits triangulation, i.e., a decomposition of 2-simplices (images of a standard triangle in  $\mathbb{R}^2$ ). The Euler characteristic of a surface  $S$  is  $\chi(S) = F - E + V$ , where  $F$  is the total number of faces (2-simplices),  $E$  is the number of edges (1-simplices), and  $V$  is the number of vertices (0-simplices) of a triangulation. The number  $\chi(S)$  is independent of the choice of triangulation.

**Theorem 4.1** (Riemann-Hurwitz Formula). *Let  $F : X \rightarrow Y$  be a nonconstant holomorphic map between compact connected Riemann surfaces. Then*

$$\chi(X) = \deg(F) \cdot \chi(Y) - \sum_{z \in X} (\text{Mult}_z(F) - 1).$$